

Annukka Berg (D.Soc.Sc.)

SYKE, Environmental Policy Centre

Sustainability experimentation: interplay between grassroots and institutions, 14th October 2015



The structure of the presentation

- A short history of Finland's quest to become an experimentalist society
- The first steps taken
- Reflections: How to implement experimental culture? Is it possible top-down?

Disclaimer: This is not a piece of academic research – but rather some **personal notes** about a process that is currently taking place





Stockholm's Congestion Charge Experiment – A love story

- PhD on national sustainable consumption and production programmes (2006-2012)
 - Frustration
 - What if all the limited resources would have been directed to action?
- Stockholm's congestion charge experiment (2005-2006, 6 months)
 - Well evaluated
 - Communal referendum
- Idea developed 2007-
 - NGO project: Visions on sustainable development







Foresight Reports & Parliament's Committee for the Future

- The Foresight Reports of the Prime Minister's Office
 - On energy and climate policy (2009)
 - On sustainable growth and well-being (2013)
- In the legislature 2011 2015, Juha Sipilä the vice-chair of the Parliament's Committee for the Future
 - Hearing on experimentative culture



Hearings in Parliament's Committee for the Future 2012

- Ideas on experimental culture met with enthusiasm across political party lines
 - A way to shift from (mere) planning to action
 - Combatting extensive "normative burden"
 - Regaining respect for doing (vs. knowing?)
 - Supporting democratic development



"Time to Experiment!" - A report

- A follow-up project: "Time to experiment! – Finland on its way to become an experimentalist society" (Berg, 2013)
- Based on interviews with 14
 Finnish experts from e.g.
 Tekes, Sitra, Aalto University +
 14 case studies
 - An environmental focus
- Presented in a press conference chaired by Juha Sipilä in the spring 2013





"Time to Experiment!" - Key recommendations

- An office/ombudsman for experimentation and innovation
- High profile experimentation hubs
- Seed money
- Councelling services
- A program





Other pioneering work in Finland (examples)

- Sitra: Various experiments and the quest to promote experimental culture
- Tekes: experimentation a key theme in the innovation research call of 2013
- Ministry of the Environment & Ministry of Transport and Communications: Sustainable consumption and production experiments & Traffic Lab
- Valo: the idea to use experimentation as a way to make the whole nation exercise by 2017



-> On international level, e.g. increasing urban experiments, living labs and transition experiments + literature on the topic 9

Programme of Prime Minister Sipilä's Government (Chapter 8, p. 28)

"A culture of experimentation will be introduced

Experimentation will aim at innovative solutions, improvements in services, the promotion of individual **initiative and entrepreneurship**, and the strengthening of **regional and local decision-making** and cooperation. Experiments will make use of citizen-driven operating practices..."



Programme of Prime Minister Sipilä's Government (Chapter 8, p. 28)

- An experimentation programme, including extensive trials and several smaller experiments, will be implemented.
- Systematic experimentation will be introduced and a legal basis will be created to make the arrangement of experiments easier.
- Experimentation will reduce response times and improve anticipation during the process of solving social problems, and the Government's strategic aims will be promoted.





The triangle model of experiments

Experimentation hubs:

- For various purposes: research, technological and service innovation, governance modes and practices
- Require special resources
- Design and evaluation as pragmatic for the actors

Governments strategic experiments:

- Relatively few
- Aim at developing governments policies
- Well designed and evaluated (legitimacy)

Grassroots experimentation:

- Everywhere: workplaces, NGOs, individual citizens...
- Need for small seed money?
- Aim at developing their own operations and the society around (grassroots innovations!)
- Potentially light to implement



www.kokeilevasuomi.fi





A participative process

- Minister Anu Vehviläinen leads the process together with the Minister group on public reforms
- Two expert workshops including officials from different ministries
- The Parliament of Finland informed and consulted in a special hearing
 - Parliamentary Adivisory Council



enlaista kokeilukulttuuria



The next steps

- Plans to establish an "office" to promote experimentation
 - Part of the Prime Minister's
 Office
- A project to find out whether there should be a special fund for experiments, and if so, what kind of design it should have
 - SYKE takes part
- The design process started on the basic income experiment







Reflections on the process

- Genuine enthusiasm and will involved in the process
 - "Getting things done"
 - Citizen empowerment
 - Removing barriers for action (regulations)
- Possibilities for the experiments to meet the expectations?
 - Risk for hype
 - Risk for scattered actions that are not able to challenge governance structures, company operating systems or long-term practices of individuals and organizations
 - Yet, evidence that learning by doing can be an effective, efficient and democratic way to change things



Open questions

 What kind of approaches and tools could/should be used to support the flourishing of experimental culture on different levels?

• Promotion: educational programmes?

Implementation: financing (seed money!)?

Evaluation: criteria (stringent vs. loose)?





Open questions

- What could/should be governments role?
 - To what extent is it possible to promote experimentative culture top-down?

 Addressing fears related to action and failure a big question







Disappointments caused by broad policy programmes

- Implementation criticised
 - E.g. local climate programmes, Finland's programmes on sustainable development and sustainable consumption and production...
 - A gap between the great challenge/vision and the few actions taken
- The key question: How to proceed when...
 - (structural) change would be needed BUT
 - there is little political will and resources?
- Strategic experimenting an alternative to vague programme work



Strategic experiments

- Local experiments on low-carbon solutions widely applied
- Definition: 1) making something new and concrete that is 2) restricted in terms of time, space, scope and/or actors but that 3) has the potential of having wider societal relevance through various up-scaling mechanisms
- Some experimentalist localities (e.g. HINKU communities) have managed to make impressive cuts of CO2 emissions
- Need for their in-depth analysis
 - Capacity to contribute to systemic change?



Strategic experiments can refer to...

- Governance experimentation
 - E.g. trials of laws or novel ways to govern
- Socio-technical experimentation as part of sustainability transitions
 - Development of innovations within protected niches
 - E.g. promotion of novel solar panels by special R&D support and public procurement arrangements
 - Also "grassroots innovations", e.g. sahkoautot.com
- Living laboratories taking place at local level
 - E.g. the testing of a new intelligent heating system in an interested neighbourhood



Hypothesis 1:

Because acting is a powerful tool for individual change

- actions -> attitudes vs. attitudes -> actions
- The meaning of different sensations and feelings (vs. reading and thinking): personal experiencing a profoundly human way to gain information, learn and comprehend
- The power of practices and non-reflexive behaviour



Hypothesis 2:

Because leading by example is a way to say you are serious about change

- Strong communicative function
- But also a way to gain personal experience



Hypothesis 3:

Because making something new together can have broader consequences

- Learning, networking, the birth of new practices and symbolic embodiments of alternatives
- Sparking grist and finding common ground
- Up-scaling and broadening the influence





Possibilities of experiments

- The role of action for personal change in low-carbon transition processes
 - Does personal experience make difference? If so, how?
- The power of example in climate leadership on different levels and in varying contexts
 - The different pathways of personal example to bring about change
- Focus on experimenting as a broader societal/governance phenomenon
 - How strategic experiments materialize and challenge existing systems?



Challenges of experiments (1/2)

- Risk to bring about scattered and piecemeal results with little power for structural change.
 - Effectiveness of experimenting?
- Experimenting may require new skills and capacities that have not been required in traditional policy development
 - Are the people holding e.g. key public sector positions able to adapt? Will the adoption of this new tool require too much resources? How (cost-)efficient is it?
- What are legitimate, fair and equitable ways to govern the experiments themselves?
 - How to make experiments socially sustainable?

